

Yumi Shimabukuro, Ph.D.

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PROFESSIONAL APPOINTMENTS

Columbia University

- Director, Urban and Social Policy Program, School of International and Public Affairs, Executive Master of Public Administration (2019-Present)
- Co-Faculty Chair of the Executive Diversity Council and the Leading with Impact & Inclusivity Initiative at the Picker Center for Executive Education (2018-Present)
- Lecturer in the Discipline of Social Welfare Policy, Master of International Affairs and Public Administration (2017-Present)
- Co-Founder, Japanese Management Leadership Program, Center on Japanese Economy and Business, Columbia Business School (2020-Present)

EDUCATION

Harvard University

- Postdoctoral Fellow, Program on U.S.-Japan Relations (2013)

Massachusetts Institute of Technology

- Ph.D., Department of Political Science (2013)
- Fields: Political economy and comparative social policy (regional focus: East Asia)

Dissertation: *Democratization and the Development of Japan's Uneven Welfare State*

Committee: Richard J. Samuels (Chair), Andrea L. Campbell, and David A. Singer

Columbia University, School of International and Public Affairs

- Masters in International Affairs and East Asian Studies (2003)
- Specialization: International Economic Policy and Political Economy
- Advisors: Gerald L. Curtis, George Packard, and Carol Gluck

Lewis and Clark College

- Bachelor of Arts (2000)
- Major: International Affairs and Political Economy

RESEARCH

Rising Above Inequality: Global Lessons from Japanese Women in Leadership (with Ryoko Ogino, under contract with Columbia University Press)

Rising above Inequality amplifies the voice of Japanese women in leadership positions who have been challenged by particularly acute and sustained gender inequality in the workplace. It explores how they have blossomed into business leaders, distills their lessons for a global audience, and engages with the broader conversations around improving diversity, equity, and inclusivity around the world. The book draws on a wealth of interviews of women business leaders across a wide range of traditionally male-dominated industries. It pairs their concrete narratives and pragmatic advice with statistics, workplace surveys, and academic research to produce a fine-grained yet generalizable understanding of the obstacles at hand and effective ways of overcoming them. Readers will enjoy self-reflective inquiries, visual thinking aids, and self-assessment exercises that are designed to support their own leadership journey. Anyone who belongs to a group that has been traditionally left out of the leadership circle may find *Rising above Inequality*'s core lessons especially uplifting, useful and actionable.

Misery Beneath the Miracle: The Pitfalls of Economic Growth and Social Investment in East Asia (with A.J. Lukauskas, forthcoming Cornell University Press with Weatherhead East Asian Institute Asia Book Series)

Misery beneath the Miracle equips readers with the empirical data and political, economic, and historical analyses required to gain a better understanding of the benefits and pitfalls of East Asia's approach to economic growth and social investment. Existing scholarship generally has overlooked the severity, persistence, and harmful consequences of the growing social welfare crises in the region; this volume fills this gap and puts a major asterisk on East Asia's economic record. It identifies the forces that are undermining the viability of the East Asian growth model and elucidates how a policy façade leaves those who need social protection the most without adequate support. These findings strongly confirm the emerging view among scholars that social welfare paradoxically may deteriorate dramatically amidst economic advancements, bringing East Asia into the debate that is currently embroiling the United States and other advanced industrialized countries.

Transferable Teaching Skills: The Science & Strategies for Increasing Adaptive Instructional Capacity in Higher Education (Under contract with Oxford University Press)

Your doctoral training was in political history in the United States, but now you're teaching in a public policy school in Asia. You have a joint appointment in the business school and the department of psychology so you must teach the same subject matter to entirely different audiences and class sizes. Or perhaps you are a professor who has been teaching an undergraduate course but is now trying to figure out a way to compress the semester-long material into a three-day online certificate program. Let's face it. Faculty in all career tracks increasingly find themselves overextended and asked to teach in unfamiliar, challenging terrains. This timely and practical book equips readers with highly portable instructional strategies and techniques that are easily transferable from one teaching activity to another. Readers will gain adaptive strategies for effective knowledge organization and instructional planning, community building, active engagement, discussion facilitation, data presentation, and enhanced memory retention.

Towards a Clean and Healthy Neighborhood: Reducing Street Litter in Central Harlem
(The most comprehensive qualitative and quantitative study on trash in Harlem with Nilda Mesa and Debashree Poddar, a joint Columbia University and 125th BID project 2018).

Central Harlem has been plagued by a persistent litter problem for a number of years. Population in the area has increased by approximately 25% in the last 15 years with no signs of slowing down. Consequently, trash issues have the potential to become more severe in the near future. Street litter creates multiple problems, including decreasing the livability of the neighborhood, worsening health issues associated with rats, and diminishing the attractiveness of thriving commercial corridors. This study examined the problem of street litter in central Harlem along 116th, 125th, and 135th Streets. It measured trash on sidewalks and adjacent street areas by quantity and type, examined the location of trash accumulations, and investigated possible sources and behavioral patterns that were correlated with the litter found. Under strict guidelines and survey methodology, surveyors counted a total of 15,507 pieces of litter on streets across all site visits; we conducted various statistical analyses to draw inferences on the possible causes and offered policy solutions.

Building an Inegalitarian Welfare State: The Impact of Dualistic Market Economy and Elite-Made Democracy in Japan (Dissertation converted book manuscript)

This book examines the timely issue of tattered safety nets amidst rising economic insecurity around the world. Using the Japanese experience as a starting point, it attributes the country's growing poverty problem to the deficiencies of its sizable welfare state that provides the least social protection to the poor. The book systematically traces the genesis of this inegalitarian pattern of welfare by amending the varieties of capitalism approach with the insights from the "the historical turn" in democratization studies and warfare-welfare research. The amended framework helps clarify the origins of key groups' preferences towards democratization and redistribution and the dynamic power struggle that ensues between and within groups in advancing their interests. The book's findings not only challenge the conventional wisdom that economic modernization and democracy are good for the poor but also demonstrate that a country's transformation into an affluent democracy can foster conditions inimical to the development of a safety net.

"Varieties of Business and Labor Preferences towards Democratization and Redistribution: Explaining the Micro-Meso-Macro Process of Institutional Change" (working paper)

"How Neoliberal Policy Makes Citizens: Lone Mother Civic Activism and Social Policy Development in Japan" (Revise and Resubmit, *Journal of Japanese Studies*)

"Building Democracy and the Welfare State without Labor: The Impact of Dualistic Coordinated Capitalism and Elite Competition in Japan" (Occasional Paper Series, Harvard University)

"Inclusionary Illiberal State and Exclusionary Democracy: The Old and New Politics of Protecting Mothers in Japan" (Working paper)

“Does Christianity Promote Liberal Democracy? Evidence from Japan” (working paper, with M. Ogawara)

“The Non-Linear Process of Institutional Change: The Bank of Japan Reform and Its Aftermath” (with A.J. Lukauskas), *Japanese Journal of Political Science* vol. 7 no. 2 (Summer, 2006): 1-26.

GLOBAL CITIES SOCIAL WELFARE CASE STUDIES

Denying Social Investment: The Invisible Child Welfare Crisis in Tokyo, Japan

Affordable Housing Crisis in Comparative Perspectives: Hong Kong and Singapore (with A.J. Lukauskas)

Sex and the Global City: Governing Fertility in Singapore (with A. Lukauskas)

Policy Impasse: Gender Inequality and Human Capital Investment in the Century of the Dragons (work in progress)

China’s Multi-Dimensional Welfare Crises: Revisiting the Link between Economic Development and Social Protection (with A. Lukauskas)

PROFESSIONAL DEVELOPMENT PUBLICATION

Dream Rut: Navigating Your Path Forward (WiseInk Publishing, May 2023).

Dream Rut reveals new insights into why people get stuck while chasing their dreams and how to move forward. For many of us, chasing our dreams is synonymous with getting stuck in a loop of doubts and downs. You may have fallen in and out of love with your dream, experienced defeat in your quest, or been unable to identify your dream. *Dream Rut* reframes this frustration into a forward-looking experience through powerful visual aids, eye-opening prompts, and uplifting writing.

FELLOWSHIPS, GRANTS, AND AWARDS

Center on Japanese Economy & Business Research Grant, Columbia Business School (2023)

School of International & Public Affairs Faculty Research Grant (2023)

School of International & Public Affairs Top-5 Teaching Award, Columbia University (2019)

Asia-Pacific Research Grant (2016-2017)

School of International & Public Affairs Outstanding Teaching Award, Columbia University (2017)

Harvard University Teaching Excellence Award (2013)

Association for Asian Studies Northeast Asia Research Grant (2013)

Advanced Post-Doctoral Research Fellowship, Harvard University (2012)

Center for Global Partnership Conference Grant (2011)

Center for International Studies Research Grant (2008, 2010)

Social Science Research Council Dissertation Proposal Development Fellowship (2007)

Institute for Humane Studies Fellowship, George Mason University (2007)

MIT Graduate Fellowship (2005-2008)

Columbia University Research Fellowship (2002-2003)

TEACHING AT COLUMBIA AND HARVARD UNIVERSITY

- **PUAF U6228 Comparative Social Welfare Policy, 2016-2023.** This core course explores welfare systems from a comparative perspective and analyzes the political, economic, socio-cultural, and historical factors that shape and sustain them in advanced industrialized countries. It pays particular attention to the development of key national social welfare policies, such as social security, health care, unemployment insurance, social assistance, childcare, tax expenditure, and public employment and training, and emerging best practice in these areas.
- **EMPA U6230 Political Economy Graduate Seminar, 2015-2023.** This seminar surveys the defining political economy issues of our time. It explores the interplay between politics and economics in the substantive issue areas of trade, finance, investment, development, and redistribution. It utilizes the most provocative, influential contributions in multiple disciplines and incorporates a wide range of research methods.
- **PUAF U6239 Comparative Urban Policy, 2016** Studying global developing cities, such as Rio De Janeiro, Johannesburg, Shanghai, or Mumbai, has never been more important. In spite of these cities' conspicuous differences, many of them share a set of challenges, including: sprawl, poor sanitation, poverty, inequality, pollution, corruption, crime, and demographic changes. This course helps one develop a keener understanding of these challenges and the governance strategies and innovative solutions to these problems.
- **PUAF U6244 Urban Social Policy, 2017-2021.** This course surveys social policymaking processes and outcomes from the perspective of cities in various parts of the world. It offers students analytical and empirical tools to better understand urban social problems (e.g., slums, homelessness, youth unemployment, child abuse, health risks) and the corresponding policies that promote more affordable, productive, inclusive, and healthier cities amidst fiscal constraints and intense economic competition.
- **PEPM U6720 Social Investment & Economic Growth, 2015-2017.** This economic policy management course investigates the nexus between economic growth and social investment policies (e.g., education, housing, social assistance, labor market policies, and healthcare) and how a variety of factors, including political actors, processes, and institutions, influence the nature of the relationship.

- **EMPA U6233 International Political Economy, 2018.** This course surveys the politics of international economic relations. It examines the principal conceptual approaches in the field of international political economy and basic elements of several key substantive issue areas such as money, finance, trade, development, investment, and globalization.
- **PEPM U4050 Global Leadership Development 2020–2023.** This mid-career global leadership development course provides intensive, collaborative, and highly interactive hands-on instruction, constructive evaluation, and ample opportunities to transform theory into practice. Students will acquire a variety of leadership skills in global contexts, including cross-cultural negotiation strategies, consensus building, collaborative facilitation, persuasion, inclusionary leadership, design-thinking-based problem-solving techniques, and public speaking in knowledge-intensive industries.
- **EMPA U6510 Managing Social and Economic Risk, 2014–2017.** This graduate-level course examined when, how, and why governments play the roles of risk managers to reallocate or reduce socio-economic risks. It introduced students to the fundamental theories, methodological perspectives, and recent research in the field of political economy of redistribution and inequality.
- **PEPM U6710 Building Welfare States in East Asia, 2014.** This seminar offered a detailed examination of recent research on the political economy of redistribution in East Asian countries (China, Japan, South Korea, Taiwan, Hong Kong, and Singapore) and explored why they have shown markedly divergent responses.
- **Political Economy of Japan, Harvard University, 2013.** This course explored the interaction of political parties, the bureaucracy, interest groups, and the media, with an emphasis on the ongoing transformation of the Japanese political and economic systems. The class combined lectures, discussion, small group exercises, and case study presentations.
- **Senior Thesis Evaluation, the Department of Government at Harvard University, 2013.** Evaluated senior theses for the comparative politics section and provided students with suggestions for reading materials, appropriate methodologies, and the basic conceptualization of their research projects.

SELECTED EXECUTIVE EDUCATION TEACHING

Mujeres por Africa. The four-day executive training program, designed in partnership with Fundación Mujeres por Africa, seeks to empower participants to make a lasting impact in their individual fields. The program combined classroom lectures, engaging activities, and a visit to New York’s City Hall to provide an immersive and enriching experience.

Program in Governance, Sustainability, and Urban Renewal. A 1-week program in partnership with the Taipei City Government focused on environmentally sustainable development, urban renewal and regeneration, social support, and good governance in the public sector.

Managing Socio-Economic Risks, Externado University. The SIPA-Externado partnership comprised of a series of Master's degree and specialization programs at the Externado campus in Bogota, Colombia. The curriculum included a number of different but related management, finance and international affairs classes.

Practices in Economic Development. "Managing for Social Progress" course designed for COMFAMA, one of Colombia's largest family equalization funds located in Medellin that provides health, education, credit, and recreation benefits to the more than 500,000 families that are affiliated with the organization.

Managing Macroeconomic Risk in Emerging Markets, Brazilian Senior Executives Program. In conjunction with BI International, this Picker Center program covers financial crises, policy responses to crises, macroeconomic policy trends in Latin America, developments in international trade, and the new international political economy.

Fire Officers Management Institute Program. Eight-week comprehensive, in-residence management curriculum for senior officers of the Fire Department of the City of New York (FDNY) offered by the Picker Center and Columbia Business School's Institute for Nonprofit Management.

Japanese Management Leadership Program, Columbia Business School. This program offers customized leaders-in-learning workshops and research seminars for Center of Japanese Economy and Business Visiting Scholars throughout the year.

Fostering Innovation Program, Korean University Graduate School of Management of Technology. Intensive three-day program on human-centered design, collaborative global leadership development, and international affairs policy training.

RECENT PRESENTATIONS

"Think Outside the Box: Creativity and Public Policy," (Masterclass in ANZSOG's Public Leadership Masterclass program, July and October 2023).

"Gender/DEI and Design Thinking for the Private and Public Sector" (Columbia Business School Education, October 2023).

"Creative Problem-Solving: Child Welfare and the Future of NYFD," (GE Leadership Center, Crotonville, NY April 2023).

"Career Path Development and Visioning" (Columbia Business School, April 2023).

"Democracy and Aging in Japanese Politics" (Columbia University, December 2022).

"Japan-Focused Design Thinking for the Private and Public Sector" (Columbia Business School Education, October 2022).

"Human-Centered Design, Child Welfare, and the Future of NYFD," (GE Leadership Center, Crotonville, NY April 2022).

“Affordable Housing Crisis and Covid-19” (U.S. Department of State Speaker Series, November 2021)

“Design Thinking and the Practice of Ideation” (Columbia Business School, October 2021).

“Human-Centered Design and Its Multiple Applications in Public Policy” (Columbia University Executive Education, February 2021).

“Effective Knowledge Transfer and Data Visualization” (Columbia Business School, October, 2020).

“Bamboo Ceiling Research and Breakthrough Strategies” (Columbia University, May 2020).

“Covid-19: Economic Implications for Japan and the United States” (Columbia Business School, May 2020).

“Empowering Emerging Leaders in the Covid-Era” (Columbia Business School, April 2020).

“From Miracle to Misery: The Other Story of East Asia’s Economic Progress” (Columbia University, April 2020).

“Human-Centered Design, Child Welfare, and the Future of NYFD” (GE Leadership Center, Crotonville, NY February 2020).

“Human-Centered Design and Its Multiple Applications in Public Policy” (Columbia University, November 2019).

“Breaking the Bamboo Ceiling: The Asian Leadership Gap” (Columbia University October 2019).

“Comparative Social Protection and Economic Development” (Externado University, Bogota, July 2019).

“Breaking the Bamboo Ceiling: Statistical and Practical Lessons” (Columbia Business School, April 2019).

“The Affordable Housing Crisis in the U.S., Asia, and Latin America” (Columbia University Global Cities Initiative, November 2018).

“Greying of Society and Policy Solutions: Asia in Comparative Perspective” (Externado University, Bogota, August 2018).

“Comparative Inequality and Social Policy: United States, Europe, and Japan” (Columbia University, March 2018).

“Building an Inegalitarian Welfare State: The Impact of Dualistic Coordinated Market Economy” (Columbia University, September 2017)

“Global Social Policy Development and Innovation” (Externado Bogota University: June 2017)

“Global Inequality and Public and Private Initiatives” (Columbia University Executive Training: Spring 2017)

“Global Social Policy Innovation” (Columbia University Executive Training: November 2016)

“Gender Inequality and Leadership in Public Affairs” (Columbia University Executive Training: May 2016)

“Effective Knowledge Transfer for Women in Leadership” (Columbia University: March 2016)

“Digital India Panel: Technological Advancements and Social Innovation” (Columbia University: July 2015)

“Winner-Take-All Politics and Economics from a Global Perspective” (Externado Bogota University: July 2015)

“Comparative Social Policy and Growing Inequality” (Columbia University: May 2015)

“Effective Oral Presentation Workshop for Ethnic Minority Women in Leadership” (Columbia University: April 2015)

“The East Asian Miracle at Risk: Economic Growth, Social Investment, and Growing Inequality” (Harvard University: February 2015)

“Japan’s Inegalitarian Welfare State: The Political Economy of Poverty and Redistribution” (University of Toronto: February 2015)

“Effective Knowledge Transferring Workshop for Leaders in Public Affairs” (Columbia University: December 2014)

“The Impact of Christianity on Democratization in East Asia” (Weatherhead East Asian Institute, Columbia University: April 2014)

“East Asian Miracle at Risk: Is a Social Crisis Brewing?” (School of International and Public Affairs, Columbia University: February 2014)

“The Missionary Roots of Democratic Failure in Japan” (Contemporary Politics Study Group, Harvard University: November 2013)

“Excluding the Poor: The Makings of Japan’s Inegalitarian Welfare State” (Weatherhead Center for Area Studies, Harvard University: September 2012)

UNIVERSITY SERVICES AND COMMITTEES

- Founding Director, Urban and Social Policy Concentration of the EMPA (2019-Present)
- Acting Director, US Specialization and Urban Social Policy Concentration (2021-2022)
- Founder, Global Leadership Development Initiative (2018-Present)

- Member, Admissions Committee MIA/MPA (2018-2021)
- Member, Diversity Committee/DEICE (2018-Present)
- Faculty Co-Chair, EMPA Diversity Executive Council (2020-Present)
- Member, Office of Career Services Steering Committee (2020-Present)
- Member, Committee on Instructions (2021-2022)
- Member, EMPA Steering Committee (2019-2020)
- Inquiry Member, Standing Committee on the Conduct of Research, University Research Compliance (2019-2020)
- Co-Founder, Center on Japanese Economy and Business, Columbia Business School, The Japanese Management Leadership Program (2019-Present)
- Member, AC4 Graduate Fellowship Selection Committee (2020-2021)
- Evaluator, Columbia Roosevelt Institute's Post-COVID-19 Economic Recovery Policy Hackathon (2020-2021)
- Acting Director, Urban and Social Policy Concentration MIA/MPA (2017)
- Member, Committee on Instructions (2017)
- Member, MARSEA MA Thesis Committee (2017-2018)

PRIVATE SECTOR EXPERIENCE

Various research and consulting work for:

U.S. Agency for International Development

Nomura Research Institute

Carnegie Council on Ethics and International Affairs

International Sustainable Development Foundation

Asia Pacific Economic Cooperation

Knowledge Link Cambridge

The 125th Street Business Improvement District

PROFESSIONAL AFFILIATIONS & MEMBERSHIPS

Member, Association of Asian Studies

Member, American Political Science Association

Instructor, Kula for Karma Yoga for Homeless Veterans Initiative

Certified Yoga Instructor, National Yoga Alliance

Journal Reviewer, *Comparative Politics* and *World Politics*